PATENT COOPERATION TREATY (1)

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER	see Form PCT/ISA/220				
414/04401	ACTION as well as, where applicable, item 5 below.					
International application No.	International filing date (day/mor	th/year)	/year) (Earliest) Priority Date (day/month/year)			
PCT/IL2005/000139	PCT/IL2005/000139 04/02/2005 05/02/2004					
Applicant						
REABILITY INC.						
This International Search Report has been according to Article 18. A copy is being tra			hority and is transmitted to the applicant			
This International Search Report consists	of a total ofs	heets.				
X It is also accompanied by	a copy of each prior art documen	cited in this	report.			
	international search was carried o less otherwise indicated under this		sis of the international application in the			
The international this Authority (Ru		is of a trans	lation of the international application furnished to			
b. With regard to any nucleo	otide and/or amino acid sequen	e disclosed	in the international application, see Box No. I.			
2. X Certain claims were fou	nd unsearchable (See Box II).					
3. Unity of invention is lac	king (see Box III).					
4. With regard to the title ,						
	ibmitted by the applicant.					
the text has been establis	shed by this Authority to read as fo	llows:				
5. With regard to the abstract,						
	the text is approved as submitted by the applicant.					
the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box No. IV. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.						
6. With regard to the drawings,						
a. the figure of the drawings to be published with the abstract is Figure No5						
X as suggested by	the applicant.					
	is Authority, because the applican		•			
	is Authority, because this figure be be published with the abstract.	πer charact	erizes the invention.			
india of the lightes is to t	o published mai trie abstract.					

ernational application No.

PCT/IL2005/000139

Box No. IV	Text of the abstract	(Continuation of item	5 of the first sheet)
DUX NU. IV	TEXT OF HIS GROUNDS	CONTINUATION OF RESID	0 01 410 11101 011001

An apparatus for rehabilitation, comprising, an elongate object(210,402,506) adapted to be hand-held and manipulated using fingers and be used in a task; and a fine motion mechanism(208,508) coupled to said object and adapted to apply force to said object, sufficient to at least move said object.

Int stonal Application No PC I/IL2005/000139

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61H1/02 A63E G09B11/00 G09B9/00 A63B23/16 A63B23/12 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) A61H A63B G09B G06F IPC 7 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, WPI Data, PAJ C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. Category ° US 5 397 865 A (PARK ET AL) 1-16. Х 14 March 1995 (1995-03-14) 21 - 29column 2, line 65 - column 10, line 56 column 12, lines 24-28; figures la,1c,1d,2 X US 2002/064438 A1 (OSBORNE WILLIAM JOSEPH 1-4,712.17-20 ET AL) 30 May 2002 (2002-05-30) paragraph '0169!; figures US 6 057 828 A (ROSENBERG ET AL) 1-20.X 2 May 2000 (2000-05-02) 23 - 29column 15, line 63 - column 16, line 65; figures 1.7 US 6 061 004 A (ROSENBERG ET AL) X 1-21 9 May 2000 (2000-05-09) 23 - 29figures 1,2,7a,7b -/--X Further documents are listed in the continuation of box C. Patent family members are listed in annex. Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance invention *E* earlier document but published on or after the international filing date *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step when the document is taken alone 'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-ments, such combination being obvious to a person skilled *O* document referring to an oral disclosure, use, exhibition or other means in the art. document published prior to the international filing date but later than the priority date claimed *8* document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 02/06/2005 23 May 2005 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2

2

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Fischer, E

PCT/IL2005/000139

US 3 919 691 A (NOLL ET AL) 11 November 1975 (1975-11-11) column 3, lines 54-60; figure 2	1-20, 23-29
JP 2002 127058 A (SANYO ELECTRIC CO LTD) 8 May 2002 (2002-05-08) the whole document -& PATENT ABSTRACTS OF JAPAN vol. 2002, no. 09, 4 September 2002 (2002-09-04) & JP 2002 127058 A (SANYO ELECTRIC CO LTD), 8 May 2002 (2002-05-08) abstract	1-22
JP 11 253504 A (SANYO ELECTRIC CO LTD) 21 September 1999 (1999-09-21) paragraphs '0001! - '0023!; figures 1-3 -& PATENT ABSTRACTS OF JAPAN vol. 1999, no. 14, 22 December 1999 (1999-12-22) & JP 11 253504 A (SANYO ELECTRIC CO LTD), 21 September 1999 (1999-09-21) abstract	1-8, 10-20
WO 2004/050172 A (KINETIC MUSCLES, INC; KOENEMAN, EDWARD, J; KOENEMAN, JAMES, B; HERRING) 17 June 2004 (2004-06-17) the whole document	1-8, 10-20

2

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box II.1

Claims Nos.: 30-36

Claims 30-36 are directed to methods for treatment of the human or animal body by therapy - Article 17(2)(a)(i), Rule 39.1(iv) PCT.

In particular, independent claims 30, 33 include medical treatment steps like using an actuator to assist movement of an arm or of fingers of a person, the purpose and inevitable effect being therapeutic, namely rehabilitation of lost limb control.

International application No. PCT/IL2005/000139

INTERNATIONAL SEARCH REPORT

Box II Observations where certain claims were found uns	earchable (Continuation of item 2 of first sheet)
This International Search Report has not been established in respect of	of certain claims under Article 17(2)(a) for the following reasons:
1. X Claims Nos.: 30-36 because they relate to subject matter not required to be search.	
see FURTHER INFORMATION sheet PCT/IS	A/210
Claims Nos.: because they relate to parts of the International Application the an extent that no meaningful International Search can be care.	nat do not comply with the prescribed requirements to such
3. Claims Nos.:	
	cordance with the second and third sentences of Rule 6.4(a).
Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
This International Searching Authority found multiple inventions in this	international application, as follows:
1. As all required additional search fees we a timely paid by the searchable daims.	applicant, this International Search Report covers all
2. As all searchable claims could be searched without effort just of any additional fee.	tifying an additional fee, this Authority did not invite payment
As only some of the required additional search fees were time covers only those claims for which fees were paid, specifically.	ely paid by the applicant, this International Search Report
development and the white the part, specifical	y dams 140s
·	
4. No required additional search fees were timely paid by the agreement restricted to the invention first mentioned in the claims; it is considered to the invention first mentioned in the claims; it is considered to the invention first mentioned in the claims; it is considered to the invention first mentioned in the claims; it is considered to the invention first mentioned in the claims; it is considered to the invention first mentioned in the claims; it is considered to the invention first mentioned in the claims; it is considered to the invention first mentioned in the claims; it is considered to the invention first mentioned in the claims; it is considered to the invention first mentioned in the claims; it is considered to the invention first mentioned in the claims; it is considered to the invention first mentioned in the claims; it is considered to the invention first mentioned in the claims; it is considered to the invention first mentioned in the claims; it is considered to the invention first mentioned in the claims; it is considered to the invention first mention first mention for the claims; it is considered to the con	oplicant. Consequently, this International Search Report is overed by claims Nos.:
Remark on Protest The addi	tional search fees were accompanied by the applicant's protest.
No prote	st accompanied the payment of additional search fees.

Information on patent family members

ruT/IL2005/000139

	tent document in search report		Publication date	····	Patent family member(s)		Publication date
	5397865	Α	14-03-1995	NONE			
	2002064438	 A1	30-05-2002	US	2001056313	 Λ1	27-12-200
บร	6057828	Α	02-05-2000	US	5731804		24-03-199
				US	5767839		16-06-199
				US	2003030621		13-02-200
				US	6437771	B1	20-08-200
				ΑU	5167896		07-08-199
				ÇA	2210725		25-07-199
				DE	69632028		06-05-200
				DE	69632028		09-12-200
				EP	0804786	A1	05-11-199
				JP		T	08-12-199
				WO	9622591		25-07-199
				US	6400352		04-06-200
				US	6201533		13-03-200
				US	6271828		07-08-200
				US		Ā	24-02-199
				US	5805140		08-09-199
				US	6850222		01-02-200
				US	2001020937		13-09-200
				US	2004164959		26-08-200
				US	2002018046		14-02-200
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				US	6246390		12-06-200
				US Ca	6154198 2167304		28-11-200
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				ÜS	5701140		23-12-199
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				บร	2001030658		18-10-200
				US	2002033841		21-03-200
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				U\$ 	2004100440	A1	27 -05-20 (
US 	3919691	A 	11-11-1975	NONE			
	2002127058	A	08-05-2002	NONE			
JP	1.0000004	Α	21-09-1999	NONE			
	11253504						
 JP 	2004050172	Α	17-06-2004	AU	2003297652		
 JP 		Α	17-06-2004	AU WO US	2003297652 2004050172 2004267331	A1	23-06-200 17-06-200 30-12-200

PATENT COOPERATION TREAT

From the INTERNATIONAL SEARCHING AUTHORITY To: WRITTEN OPINION OF THE see form PCT/ISA/220 INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43bis.1) Date of mailing (day/month/year) see form PCT/ISA/210 (second sheet) Applicant's or agent's file reference FOR FURTHER ACTION see form PCT/ISA/220 See paragraph 2 below International filing date (day/month/year) International application No. Priority date (day/month/year) 05.02.2004 PCT/IL2005/000139 04.02.2005 International Patent Classification (IPC) or both national classification and IPC A61H1/02, A63B23/12, A63B23/16, G09B11/00, G09B9/00 Applicant REABILITY INC. 1. This opinion contains indications relating to the following items: Box No. I Basis of the opinion ☐ Box No. II Priority ☑ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability ☐ Box No. IV Lack of unity of invention Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement ☑ Box No. VI Certain documents cited ☐ Box No. VII Certain defects in the international application ☐ Box No. VIII Certain observations on the international application 2 **FURTHER ACTION** If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA"). However, this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notifed the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered. If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of three months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later. For further options, see Form PCT/ISA/220. 3. For further details, see notes to Form PCT/ISA/220.

Authorized Officer

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D-80298 Munich

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/IL2005/000139

	Box	c No	o. I Basis of the opinion	
1.			egard to the language , this opinion has been established on the basis of the international a guage in which it was filed, unless otherwise indicated under this item.	application in
		lar	his opinion has been established on the basis of a translation from the original language into a suppose the language of a translation furnished for the purposes of international number Rules 12.3 and 23.1(b)).	
2.			egard to any nucleotide and/or amino acid sequence disclosed in the international applic eary to the claimed invention, this opinion has been established on the basis of:	ation and
	a. ty	ype	of material:	
	1		a sequence listing	
	[table(s) related to the sequence listing	
	b. fe	orm	nat of material:	
	[in written format	
	ſ		in computer readable form	
	c. ti	ime	of filing/furnishing:	
	l		contained in the international application as filed.	
	ſ		filed together with the international application in computer readable form.	
	(furnished subsequently to this Authority for the purposes of search.	
3.		ha co	addition, in the case that more than one version or copy of a sequence listing and/or table as been filed or furnished, the required statements that the information in the subsequent oppies is identical to that in the application as filed or does not go beyond the application as oppopriate, were furnished.	r additional

4. Additional comments:

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/IL2005/000139

Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability					
The	questions whether the claimed rious), or to be industrially applic	inver able	ntion appears to be novel, to involve an inventive step (to be non have not been examined in respect of:		
	the entire international application,				
\boxtimes	claims Nos. 30-36				
bed	eause:				
	the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (specify):				
	the description, claims or drawings (indicate particular elements below) or said claims Nos. are so unclear that no meaningful opinion could be formed (specify):				
	the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.				
	no international search report has been established for the whole application or for said claims Nos. 30-36				
	the nucleotide and/or amino acid sequence listing does not comply with the standard provided for in Annex C of the Administrative Instructions in that:				
	the written form		has not been furnished		
			does not comply with the standard		
	the computer readable form		has not been furnished		
			does not comply with the standard		
	the tables related to the nucleotide and/or amino acid sequence listing, if in computer readable form only, do not comply with the technical requirements provided for in Annex C-bis of the Administrative Instructions.				
	See separate sheet for further details				

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/IL2005/000139

Box No. V Reasoned statement under Rule 43*bls*.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

No: Claims

1-29

Inventive step (IS)

Yes: Claims

No: Claims 1

1-29

Industrial applicability (IA)

Yes: Claims

1-29

No: Claims

2. Citations and explanations

see separate sheet

Box No. VI Certain documents cited

 Certain published documents (Rules 43bis.1 and 70.10) and /or

2. Non-written disclosures (Rules 43bis.1 and 70.9)

see form 210

Re Item III

Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

Claims 30-36 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT, namely to methods for treatment of the human or animal body by therapy. Consequently, no opinion will be formulated with respect to novelty, inventive step and industrial applicability of the subject-matter of these claims (Article 34(4)(a)(i), Rule 43bis.1(b) PCT).

In particular, independent claims 30, 33 include medical treatment steps like using an actuator to assist movement of an arm or of fingers of a person, the purpose and inevitable effect being therapeutic, namely rehabilitation of lost limb control.

Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

I. Documents

Reference is made to the following documents:

D1: US-A-5 397 865;

D2: US-A-2002/064438;

D3: US-A-6 057 828;

D4: US-A-6 061 004;

D5: US-A-3 919 691;

D6: JP-A-2002 127058;

D7: JP-A-11 253504;

D8: WO-A-2004/050172.

II. Requirements of Article 6 PCT - Clarity

1. The formulation "fine motion mechanism ... adapted to apply sufficient force to move the object" used in claim 1 is not clear, since the claim does not define any of those parameters necessary to determine the force. For example: What kind of object is used, how is the object held, how much force does the user apply, etc.?

- 2. The claims as a whole are not clear, since they attempt to define the invention in terms of a result to be achieved, rather than defining the structural technical features that are necessary to perform the stated functions (PCT International Search and Preliminary Examination Guidelines 5.35): See e.g. claims 5-7, 18, 23.
- According to independent claim 23 the apparatus comprises "a stylus extending upwards from the surface, and a motion mechanism [being] located under the surface". Thus, all embodiments according to Figs. 2, 3, 5 fall outside the subjectmatter covered by this claim (PCT International Search and Preliminary Examination Guidelines 5.29).

III. Requirements of Article 33(2), (3) PCT - Novelty / Inventive step

1. Document D1 discloses (see especially Figs. 1a, 1c, 1d) (the references in parentheses applying to this document):

An apparatus for rehabilitation (the device of D1 is suitable for this intended use; see e.g. column 2, lines 66, 67), comprising:

an object (120) adapted to be hand-held by a person and manipulated using the fingers to perform a task; and

a fine motion mechanism 170, 184) coupled to said object and adapted to apply sufficient force to move the object (column 12, lines 24-28).

Since the subject-matter of independent **claim 1** does not differ therefrom, it is not novel (Article 33(2) PCT).

- 2. Claim 1 is formulated extremely broadly, see especially:
 - -) "apparatus for rehabilitation": This formulation is to be construed as meaning merely that the apparatus is suitable for this use (see also PCT International Search and Preliminary Examination Guidelines item 5.23). In the context of the present application, any device capable of applying a force to a limb sufficient to assist movement of the limb can be regarded as suitable for such an intended use.
 - "fine motion mechanism": This relative term has no well-recognised meaning and thus cannot be used to delimit the claim from the prior art.

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WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (SEPARATE SHEET)

-) "object adapted to be hand-held ... and manipulated using the fingers": This feature can be construed to relate to the object as such, or to the object as coupled to the fine motion mechanism.

As a consequence, devices of different technical areas are novelty destroying (Art. 33(2) PCT):

- -) D2, see embodiment according to Figs. 1-3; see also embodiment according to paragraph [0169].
- -) D3-D5, see relevant passages cited in the search report: These devices not only can be moved by the user, but also apply a force feedback to the user and actively move the object held by the user.
- -) D6, D7 disclosing rehabilitation devices for writing/eating that can be moved by a user, and apply forces to assist the user, as well.
- All additional and/or differing features of independent claim 23 compared to claim 1 likewise being known from D1 and D3, the subject-matter of this claim also lacks novelty (Article 33(2) PCT).
 - -) D1: The motion mechanism (170, 184) is located under the surface (105).
 - -) D3: See esp. Fig. 7.
- 4. Dependent claims 2-22, 24-29 do not contain any additional features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of novelty and/or inventive step, since all additional features are either explicitly disclosed in at least one of the documents D1-D4 or just relate to normal design procedures in this field (the references in parentheses applying to the respective document):
 - Claims 2-4: See e.g. D1, Fig. 1a; D6, Figs. 1,9; D7, Figs. 1, 2.
 - Claims 5, 6, 14, 15, 26: See e.g. D1, column 3, lines 9-11, column 4, lines 22, 23; D3, column 3, lines 58-60, column 18, lines 33, 34; D4, column 4, lines 5, 6, column 43, lines 19-22; D6, paragraphs [0017], [0048]; D7, paragraph [0022], force sensor (24).
 - Claims 7, 29: See e.g. D2, paragraph [0165]; D7, paragraph [0020]: shaking is detected.

Claim 8, 9, 27, 28: See e.g. D1, column 3, lines 12-15: D6, paragraph [0017]; D7, paragraph [0013].

Claims 10-12, 16, 24, 25: See e.g. D1, Fig. 1c, 1d, column 12, lines 24-28; D3, Fig. 7, column 4, line 8; D6, paragraphs [0011], [0012], [0024]; D7, paragraphs [0007], [0019], [0021], [0022].

Claim 13: See D1, column 3, lines 46-51; D4, column 5, lines 17-19.

Claims 17-20: See D2, paragraph [0169]; D3, Fig. 7; D4, Fig. 2; D6, Fig. 1; D7, Figs. 1, 2.

Claims 21, 22: See esp. D1, Fig. 1a; D6, display (24).

Re Item VI

Certain documents cited

Certain published documents

Application No Patent No	Publication date (day/month/year)	Filing date (day/month/year)	Priority date (valid claim) (day/month/year)
WO-A-2004/050172	17.06.2004	03.12.2003	04.12.2002;
			02.12.2003